

NAME:

ADVISORY FIRM:

BENCHMARK:

&
or

&
or

Do you want to CHOOSE a strategy building block?
Select only ONE.

NONE

General ESG Strategy

Aperio SRI: Scoring

Environmental Strategies

Environment: Scoring

US Green REIT is available as a standalone strategy.

Social Strategies

Women's Inclusion: Exclusions & Scoring

Animal-Friendly: Exclusions

Faith-Based Strategies

Catholic Values: Exclusions & Scoring

Catholic Values: Exclusions

Islamic Values: Exclusions

Jewish Values: Exclusions & Scoring (includes Israel Exposure Tilt)

Do you want to TILT to companies aligned with your values?
Choose as many as you wish.

NONE

Environmental Tilts

Low-Carbon Footprint

Clean Technology Solutions

5% (int'l., domestic, or global)

10% (domestic or global)

Social Tilts

Pro-LGBTQ Policies & Practices
(embedded in Aperio SRI strategy)

Reproductive Rights

Governance Tilts

Governance
(embedded in Aperio SRI strategy)

Geography-Based Tilts

Israel Exposure
(included in Jewish Values strategy)

Are there companies or industries you want to EXCLUDE?
Choose as many as you wish.

NONE

Environmental Exclusions

Fossil Fuel Divestment

Fracking Oil, Gas & Consumable Fuels

Tar Sands Coal Companies

Carbon Reserves Energy Equipment & Services

Nuclear Power

Sustainable Agriculture

Factory Farming GMOs

Social Exclusions

<input type="checkbox"/> Anti-LGBTQ Policies	Exclude at revenue threshold of:	1st \$ of revenue	> 5% of revenue
<input type="checkbox"/> Animal Testing: Pharma Only			
<input type="checkbox"/> Animal Testing: Non-Pharma			
<input type="checkbox"/> Fur	Adult Entertainment	<input type="radio"/>	<input type="radio"/>
<input type="checkbox"/> Civilian Firearms: Production	Alcohol	<input type="radio"/>	<input type="radio"/>
<input type="checkbox"/> Civilian Firearms: Distribution	Gambling	<input type="radio"/>	<input type="radio"/>
<input type="checkbox"/> Military Weapons	Life Choice	<input type="radio"/>	<input type="radio"/>
<input type="checkbox"/> Predatory Lending	Tobacco	<input type="radio"/>	<input type="radio"/>
<input type="checkbox"/> Private Prisons			
<input type="checkbox"/> Sudan <input type="checkbox"/> Iran			

Governance Exclusions

No Women on Company Board

No Racial or Ethnic Minorities on US Company Board

Company and Industry Exclusions

Specific Companies	Specific Industries
<small>Include identifier share classes.</small>	<small>List industries or sub-industries.</small>
<input type="text"/>	<input type="text"/>

&
or

ENGAGE

Are you interested in shareholder advocacy?

SRI Voting

Included for Standard SRI Premium Strategies

Shareholder Advocacy

Environment, Environmental Health & Climate Change

Gender Diversity Initiatives

NONE

Aperio offers a wide range of customization options for advisors seeking to construct a client-specific public equity solution tailored to the client's customization choices. These options, whether standalone or in combination, can better meet each client's unique needs regarding taxes, risks, and values. The following are general descriptions and serve as a starting point for Aperio's custom solutions. Please contact Aperio to discuss further.

Benchmark/Market Exposures

- Standard
 - US Total Market
 - US Large Cap
 - US Mid Cap
 - US Small Cap
 - US Large/Mid Cap
 - US Small/Mid Cap
 - US REITs
 - Global Developed & Emerging
 - Global Developed
 - International Developed & Emerging
 - International Developed
 - International Developed ex Canada
 - Global Natural Resources
- Blended Benchmarks: Clients may select their individual, custom, market exposure weights in order to reflect allocations that differ from standard market-cap-weighted benchmarks (e.g., 75% US index + 25% International index). This offers the potential for better tax- and cost-efficient management than placing each strategy in its own SMA.

Tax Management

- Tax Rates: > State > Federal
- Tax-Loss Harvesting: > Standard > Aggressive > Light > Tax Neutral
- Gain Harvesting: > Standard

Factor-Based Investing (Factor-Tilted or Smart Beta Solutions)

- Aperio Preconfigured Factor Strategies

Beta-1	Lower Risk
<ul style="list-style-type: none"> • Value • Value + Small Size • Value + Momentum • High Dividend Yield • Multi-Factor 	<ul style="list-style-type: none"> • Quality • Quality Light • Minimum Volatility + Value
- Factors Available for Tilting

<ul style="list-style-type: none"> • Book-to-Price • Earnings Yield • Dividend Yield • Size • Momentum • Earnings Quality 	<ul style="list-style-type: none"> • Investment Quality • Earnings Variability • Profitability • Leverage • Beta • Volatility
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- Also Available: Industries, Countries, Regions

SRI-ESG (Values/Mission Alignment)

- Accounts over \$1 million: see the Aperio [SRI-ESG customization menu](#).
- Accounts over \$5 million where the SRI-ESG menu does not adequately address the investors' values and/or mission: Aperio will build a bespoke social profile.

Services and Analyses

Aperio offers personalized services and analyses beyond just managing portfolios.

Tools and Analysis

- [Tax Transition Analysis](#)
- [Charitable Donation Analysis](#)
- [Concentrated Stock Diversification Analysis](#)

Specialized Trading

- [Gain Harvesting](#)
- [Offset Portfolios](#)

Consulting

- [Tax Economics Consulting](#)
- [Tax Modeling](#)
- [State Tax Guide](#)

Reporting

- [After-Tax Monthly Performance](#) (including use of [shadow benchmarks](#))
- [Performance Attribution Reports](#)
- [SRI Reports](#)